# **Pulse Oximeter Profile**

Bluetooth<sup>®</sup> Profile Specification



- Date 2015-Jul-14
- Revision v1.0.0
- Group Prepared By Medical Devices Working Group
- Feedback Email <u>med-main@bluetooth.org</u>

#### Abstract:

This Profile Specification defines a Pulse Oximeter (PLX) profile that enables a Collector device to connect and interact with a pulse oximeter intended for healthcare applications.



Revision History

Revision Number	Date	Comments
v1.0.0	7/14/2015	Approved by the Bluetooth SIG BoD

Contributors

Name	Company
Jordan Hartmann	Nonin Medical, Inc.
Matthew Leipnitz	Nonin Medical, Inc.
Leif-Alexandre Aschehoug	Nordic Semiconductor
Wolfgang Heck	Roche



#### DISCLAIMER AND COPYRIGHT NOTICE

This disclaimer applies to all draft specifications and final specifications adopted by the Bluetooth SIG Board of Directors (both of which are hereinafter referred to herein as a Bluetooth "Specification"). Your use of this Specification in any way is subject to your compliance with all conditions of such use, and your acceptance of all disclaimers and limitations as to such use, contained in this Specification. Any user of this Specification is advised to seek appropriate legal, engineering or other professional advice regarding the use, interpretation or effect of this Specification on any matters discussed in this Specification.

Use of Bluetooth Specifications and any related intellectual property is governed by the Promoters Membership Agreement among the Promoter Members and Bluetooth SIG (the "Promoters Agreement"), certain membership agreements between Bluetooth SIG and its Adopter and Associate Members, including, but not limited to, the Membership Application, the Bluetooth Patent/Copyright License Agreement and the Bluetooth Trademark License Agreement (collectively, the "Membership Agreements") and the Bluetooth Specification Early Adopters Agreements (1.2 Early Adopters Agreements) among Early Adopter members of the unincorporated Bluetooth SIG and the Promoter Members (the "Early Adopters Agreement"). Certain rights and obligations of the Promoter Members under the Early Adopters Agreements have been assigned to Bluetooth SIG by the Promoter Members.

Use of the Specification by anyone who is not a member of Bluetooth SIG or a party to an Early Adopters Agreement (each such person or party, a "Member") is prohibited. The use of any portion of a Bluetooth Specification may involve the use of intellectual property rights ("IPR"), including pending or issued patents, or copyrights or other rights. Bluetooth SIG has made no search or investigation for such rights and disclaims any undertaking or duty to do so. The legal rights and obligations of each Member are governed by the applicable Membership Agreements, Early Adopters Agreement or Promoters Agreement. No license, express or implied, by estoppel or otherwise, to any intellectual property rights are granted herein.

Any use of the Specification not in compliance with the terms of the applicable Membership Agreements, Early Adopters Agreement or Promoters Agreement is prohibited and any such prohibited use may result in (i) termination of the applicable Membership Agreements or Early Adopters Agreement and (ii) liability claims by Bluetooth SIG or any of its Members for patent, copyright and/or trademark infringement claims permitted by the applicable agreement or by applicable law.

THE SPECIFICATION IS PROVIDED "AS IS" WITH NO WARRANTIES WHATSOEVER, INCLUDING ANY WARRANTY OF MERCHANTABILITY, NONINFRINGEMENT, FITNESS FOR ANY PARTICULAR PURPOSE, SATISFACTORY QUALITY, OR REASONABLE SKILL OR CARE, OR ANY WARRANTY ARISING OUT OF ANY COURSE OF DEALING, USAGE, TRADE PRACTICE, PROPOSAL, SPECIFICATION OR SAMPLE.

Each Member hereby acknowledges that products equipped with the Bluetooth wireless technology ("Bluetooth Products") may be subject to various regulatory controls under the laws and regulations applicable to products using wireless non licensed spectrum of various governments worldwide. Such laws and regulatory controls may govern, among other things, the combination, operation, use, implementation and distribution of Bluetooth Products. Examples of such laws and regulatory controls include, but are not limited to, airline regulatory controls, telecommunications regulations, technology transfer controls and health and safety regulations. Each Member is solely responsible for the compliance by their Bluetooth Products with any such laws and regulations and for obtaining any and all required authorizations, permits, or licenses for their Bluetooth Products related to such regulations within the applicable jurisdictions. Each Member acknowledges that nothing in the Specification provides any information or assistance in connection with securing such compliance, authorizations or licenses. NOTHING IN THE SPECIFICATION CREATES ANY WARRANTIES, EITHER EXPRESS OR IMPLIED, REGARDING SUCH LAWS OR REGULATIONS.

ALL LIABILITY, INCLUDING LIABILITY FOR INFRINGEMENT OF ANY INTELLECTUAL PROPERTY RIGHTS OR FOR NONCOMPLIANCE WITH LAWS, RELATING TO USE OF THE SPECIFICATION IS EXPRESSLY DISCLAIMED. To the extent not prohibited by law, in no event will Bluetooth SIG or its Members or their affiliates be liable for any damages, including without limitation, lost revenue, profits, data or programs, or business interruption, or for special, indirect, consequential, incidental or punitive damages, however caused and regardless of the theory of liability, arising out of or related to any furnishing, practicing, modifying, use or the performance or implementation of the contents of this Specification, even if Bluetooth SIG or its Members or their affiliates have been advised of the possibility of such damages. BY USE OF THE SPECIFICATION, EACH MEMBER EXPRESSLY WAIVES ANY CLAIM AGAINST BLUETOOTH SIG AND ITS MEMBERS OR THEIR AFFILATES RELATED TO USE OF THE SPECIFICATION.

If this Specification is an intermediate draft, it is for comment only. No products should be designed based on it except solely to verify the prototyping specification at SIG sponsored IOP events and it does not represent any commitment to release or implement any portion of the intermediate draft, which may be withdrawn, modified, or replaced at any time in the adopted Specification.

Bluetooth SIG reserves the right to adopt any changes or alterations to the Specification it deems necessary or appropriate.

Copyright © 2015. The Bluetooth word mark and logos are owned by Bluetooth SIG, Inc. All copyrights in the Bluetooth Specifications themselves are owned by Ericsson AB, Lenovo (Singapore) Pte. Ltd., Intel Corporation, Microsoft Corporation, Motorola Mobility, LLC, Nokia Corporation and Toshiba Corporation. Other third-party brands and names are the property of their respective owners.



# **Document Terminology**

The Bluetooth SIG has adopted portions of the IEEE Standards Style Manual, which dictates use of the words "shall", "should", "may", and "can" in the development of documentation, as follows:

The word *shall* is used to indicate mandatory requirements strictly to be followed in order to conform to the standard and from which no deviation is permitted (*shall* equals *is required to*).

The use of the word *must* is deprecated and shall not be used when stating mandatory requirements; *must* is used only to describe unavoidable situations.

The use of the word *will* is deprecated and shall not be used when stating mandatory requirements; *will* is only used in statements of fact.

The word *should* is used to indicate that among several possibilities one is recommended as particularly suitable, without mentioning or excluding others; or that a certain course of action is preferred but not necessarily required; or that (in the negative form) a certain course of action is deprecated but not prohibited (*should* equals *is recommended that*).

The word *may* is used to indicate a course of action permissible within the limits of the standard (*may* equals *is permitted*).

The word *can* is used for statements of possibility and capability, whether material, physical, or causal (*can* equals *is able to*).

The term *Reserved for Future Use (RFU)* is used to indicate Bluetooth SIG assigned values that are reserved by the Bluetooth SIG and are not otherwise available for use by implementations.



## Contents

1	Intr	troduction	8
	1.1	Scope	8
	1.2	Conformance	8
	1.3	Profile Dependencies	8
	1.4	Bluetooth Specification Release Compatibility	8
	1.5	Symbols, Conventions, and Definitions	8
2	Pro	rofile Overview	9
	2.1	Protocol Stack	9
	2.2	Configurations, Roles, and Modes	9
	2.3	User Scenarios	9
	2.3.	3.1 Spot Check Measurements for Disease Management	10
	2.3.	3.2 Sleep Diagnostics	10
	2.3.	3.3 Brief Hospital Stay	10
	2.3.	3.4 Emergency Medicine	10
3	Pro	rofile Requirements	11
	3.1	Configuration	11
	3.1.	1.1 Roles	11
	3.1.	1.2 Role/Service Relationships	11
	3.1.	1.3 Concurrency Limitations and Restrictions	11
	3.1.	1.4 Topology Limitations and Restrictions	12
	3	3.1.4.1 Topology Restrictions for Low Energy	12
	3	3.1.4.2 Topology Restrictions for BR/EDR	12
	3.1.	1.5 Transport Dependencies	12
4	Ser	ensor Role Requirements	13
	4.1	Incremental Pulse Oximeter Service Requirements	13
	4.1.	1.1 Writable GAP Device Name Characteristic	13
	4.1.	1.2 Additional Requirements for Low Energy Transport	13
	4	4.1.2.1 Service UUIDs AD Type	13
	4	4.1.2.2 Local Name AD Type	13
	4	4.1.2.3 Appearance AD Type	14
	4.1.	1.3 Incremental Device Information Service Requirements	14
	4.1.	1.4 Incremental Bond Management Service Requirements	14
	4.1.	1.5 Incremental Current Time Service Requirements	14
	4.1.	1.6 Incremental Battery Service Requirements	15
5	Pul	ulse Oximeter Collector Role Requirements	16
	5.1	GATT Sub-Procedure Requirements	17
	5.2	Service Discovery	
	5.2.	2.1 Pulse Oximeter Service Discovery	



5.2.2	Device Information Service Discovery	18
5.2.3	Bond Management Service Discovery	18
5.2.4	Current Time Service Discovery	18
5.2.5	Battery Service Discovery	18
5.3 C	haracteristic Discovery	18
5.3.1	Pulse Oximeter Service Characteristic Discovery	18
5.3.	1.1 PLX Spot-check Measurement Characteristic	18
5.3.	1.2 PLX Continuous Measurement Characteristic	18
5.3.	1.3 PLX Features Characteristic	18
5.3.	1.4 Record Access Control Point Characteristic	19
5.3.2	Bond Management Service Characteristics Discovery	19
5.3.2	2.1 Bond Management Control Point Characteristic	19
5.3.2	2.2 Bond Management Features	19
5.3.3	Device Information Service Characteristics Discovery	19
5.3.4	Current Time Service Characteristics Discovery	19
5.3.5	Battery Service Characteristics Discovery	19
5.4 PI	LX Spot-check Measurement Characteristic	19
5.5 PI	LX Continuous Measurement Characteristic	20
5.6 PI	LX Features Characteristic	20
5.6.1	Supported Features Field	20
5.6.2	Measurement Status Support Field	21
5.6.3	Device and Sensor Status Support Field	21
5.7 R	Record Access Control Point Characteristic	22
5.7.1	Record Access Control Point Procedure Requirements	22
5.7.2	RACP Behavioral Description	23
5.7.2	2.1 Report Number of Stored Records Procedure	23
5.7.2	2.2 Delete Stored Records Procedure	24
5.7.2	2.3 Report Stored Records Procedure	24
5.7.2	2.4 Abort Operation Procedure	24
5.7.2	2.5 RACP Errors	25
5.7.2	2.6 Procedure Timeout and Failure	26
5.8 Bo	ond Management Service Characteristics Behavior	26
5.8.1	Delete Bond of Requesting Device Procedures	26
5.8.2	Delete all Bonds Procedures	27
5.8.3	Delete Bond of all except the requesting device Procedures	27
5.8.4	BMSCP Error Handling	27
5.8.5	BM Feature Characteristic Behavior	28
5.9 D	evice Information Service Characteristics Behavior	29
5.10 C	Current Time Service Characteristics Behavior	29



*	Bluetooth
	SPECIAL INTEREST GROUP

5	.11	Battery Service Characteristics Behavior	29
6	Con	onnection Establishment	30
6	.1	Sensor Connection Establishment for Low Energy Transport	31
	6.1.	1.1 Connection Procedure for Unbonded Devices	31
	6.1.	1.2 Connection Procedure for Bonded Devices	32
	6.1.	1.3 Link Loss Reconnection Procedure	33
6	.2	Collector Connection Establishment for Low Energy Transport	33
	6.2.	2.1 Link Loss Reconnection Procedure	34
6	.3	Connection Establishment for BR/EDR	34
	6.3.	3.1 Connection Procedure for Unbonded Devices	34
	6.3.	3.2 Connection Procedure for Bonded Devices	35
	6.3.		
7	Sec	curity Considerations	
7	.1	Sensor Security Considerations for Low Energy	
7	.2	Collector Security Considerations for Low Energy	
7	.3	Security Considerations for BR/EDR	
8	Ger	eneric Access Profile for BR/EDR	
8	.1	Modes	
8	.2	Idle Mode Procedures	
9		ronyms and Abbreviations	
10		References	
11	Li	List of Figures	41
12	Li	List of Tables	42



# **1** Introduction

## 1.1 Scope

This Profile is used to enable communications between a Pulse Oximeter (PLX) and a Collector. It contains guidance for finding, connecting to, receiving measurements from, and configuring a pulse oximeter that supports this profile.

## 1.2 Conformance

If conformance to this Profile is claimed, all capabilities indicated as mandatory for this Profile shall be supported in the specified manner (process-mandatory). This also applies for all optional and conditional capabilities for which support is indicated. All mandatory capabilities, and optional and conditional capabilities for which support is indicated, are subject to verification as part of the Bluetooth qualification program.

## **1.3 Profile Dependencies**

This profile requires the Generic Attribute Profile (GATT) [1].

## 1.4 Bluetooth Specification Release Compatibility

This specification is compatible with any Bluetooth Core Specification [1] that includes the Generic Attribute Profile (GATT).

## **1.5** Symbols, Conventions, and Definitions

The main physiological metric provided by a pulse oximeter is the percentage of oxygen saturation of haemoglobin (or hemoglobin), where this measurement is an estimate of the fraction of functional haemoglobin in arterial blood that is saturated with oxygen (SpO2).

In addition to SpO2, the patient's pulse rate is indicated.

A parameter called the pulse amplitude index is used to give an indication of the strength of the signal being measured to extract physiological data from. If the pulse amplitude index is too low, a signal cannot be discerned. The values range from 0.01% to 20%.

The term "spot check" is commonly used when taking a specific type of pulse oximetry measurement. One measurement is taken and the pulse oximeter is removed. The measurement is usually considered complete once the pulse oximeter has been on the patient long enough for the signal to "settle". This duration can be determined by the caregiver. Alternatively, some oximeters determine when the signal is stable automatically.

The term "fully qualified" is used to describe when the physiological measurement is considered settled enough to be a high quality measurement. A spot-check measurement would usually be generated once a measurement is fully qualified.

For more information on the behavior of a pulse oximeter, see [2].



# 2 Profile Overview

## 2.1 Protocol Stack

The Pulse Oximeter Profile requires GAP, ATT/GATT and L2CAP in the host volume for all transports. If implemented on a BR/EDR device, SDP is required. If implemented on a BLE device, SMP is required.

A controller for the correct transport is required, as shown in Figure 2.1.

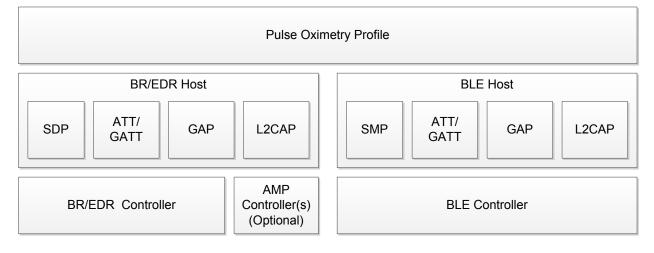


Figure 2.1: Pulse Oximeter Protocol Stack

## 2.2 Configurations, Roles, and Modes

The Pulse Oximeter Profile defines two roles: a Pulse Oximeter Sensor (Sensor), which implements a GAP Peripheral role, and a Pulse Oximeter Collector (Collector), which implements a GAP Central role. The pulse oximeter will implement a GATT Server role and the Collector will implement a GATT Client role.

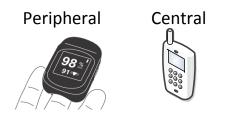


Figure 2.2: Pulse Oximeter Profile Roles

## 2.3 User Scenarios

A pulse oximeter is typically used in the following scenarios.



## 2.3.1 Spot Check Measurements for Disease Management

In this scenario, a person with a chronic condition would use a pulse oximeter to take measurements several times a day to ensure they are getting enough oxygen. These measurements may be transmitted to a Collector immediately, or if one is not present, some pulse oximeters can store these measurements for later transmission. These measurements may be forwarded by the Collector to the patient's caregiver.

## 2.3.2 Sleep Diagnostics

A pulse oximeter is often connected to a patient to monitor their oxygenation during a sleep study, to determine if the patient should be diagnosed with sleep apnea. In this scenario, a pulse oximeter is connected to a patient and gives continuous measurements, usually about once per second. In a sleep study, a short oxygen desaturation would be of interest, so the oximeter may offer a 'fast response' pulse rate and SpO2 metric that does not use as much averaging as usual to make these short desaturations more visible.

## 2.3.3 Brief Hospital Stay

While in a hospital, a patient is often outfitted with a pulse oximeter that constantly monitors their oxygen saturation. In this scenario, the pulse oximeter reports measurements typically about once per second. Since patient motion can cause readings to rapidly change, the pulse oximeter may offer a 'slow response' pulse rate and SpO2 metric that is more heavily averaged than usual. The pulse oximeter may also offer status information such as sensor dislodgement or excessive motion.

## 2.3.4 Emergency Medicine

When patients are admitted to an emergency room, they could be outfitted with a pulse oximeter with wireless connectivity. In this scenario, each patient in the room is given a pulse oximeter and wears it continuously. The oximeter reports their status continuously. This way, if a patient's condition is declining due to their oxygenation dropping, they can be treated immediately. The oximeters are cleaned and given to a new patient after each use.



## **3 Profile Requirements**

## 3.1 Configuration

## 3.1.1 Roles

The profile defines two roles: Sensor and Collector. The Sensor is the device that estimates the blood oxygen saturation (SpO2) and the pulse rate (PR) of a subject, and the Collector is the device that receives the pulse oximetry measurement and other related data from a Sensor.

- The Sensor shall be a GATT Server.
- The Collector shall be a GATT Client.

## 3.1.2 Role/Service Relationships

The following diagram shows the relationships between services and the two profile roles.

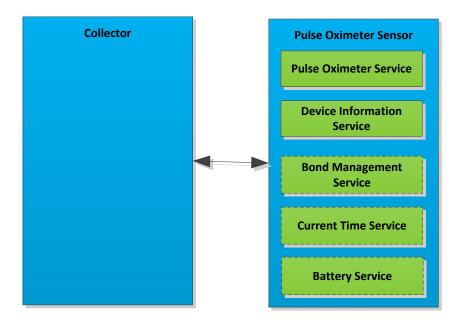


Figure 3.1: Role/Service Relationships

Note: Profile roles are represented by blue boxes and services are represented by green boxes. Dashes indicate that a service is not mandatory.

A Sensor instantiates the Pulse Oximeter Service [2] and the Device Information Service [6] and, optionally, the Bond Management Service [7] the Current Time Service [8], and/or the Battery Service [9].

## 3.1.3 Concurrency Limitations and Restrictions

There are no concurrency limitations or restrictions for the Collector or Sensor roles imposed by this profile.



## 3.1.4 Topology Limitations and Restrictions

#### 3.1.4.1 Topology Restrictions for Low Energy

The Sensor shall support the GAP Peripheral role.

The Collector shall use the GAP Central role.

#### 3.1.4.2 Topology Restrictions for BR/EDR

There are no fixed master or slave roles in this profile.

### 3.1.5 Transport Dependencies

There are no transport restrictions imposed by this profile specification. Where the term BR/EDR is used throughout this document, this also includes the use of AMP.



# **4** Sensor Role Requirements

The Sensor shall instantiate one and only one Pulse Oximeter Service [2].

The Pulse Oximeter Service shall be instantiated as a «Primary Service».

The Sensor shall instantiate one and only one Device Information Service [6].

The Sensor may instantiate the Bond Management Service [7].

The Sensor may instantiate the Current Time Service [8].

The Sensor may instantiate the Battery Service [9].

Service	Sensor
Pulse Oximeter Service	М
Device Information Service	М
Current Time Service	0
Bond Management Service	0
Battery Service	0

 Table 4.1: Sensor Service Requirements

## 4.1 Incremental Pulse Oximeter Service Requirements

## 4.1.1 Writable GAP Device Name Characteristic

The Sensor may support the write property for the Device Name characteristic in order to allow a Collector to write a device name to the Sensor.

## 4.1.2 Additional Requirements for Low Energy Transport

This section describes additional Sensor requirements and recommendations beyond those defined in the Pulse Oximeter Service when using this profile over a Low Energy Transport.

#### 4.1.2.1 Service UUIDs AD Type

While in a GAP Discoverable Mode for initial connection to a Collector, the Sensor should include the «Pulse Oximeter Service» UUID defined in [3] in the Service UUIDs AD type field of the Advertising Data. This enhances the user experience as a Sensor may be identified by the Collector before initiating a connection.

## 4.1.2.2 Local Name AD Type

For enhanced user experience a Sensor should include the Local Name (containing either the complete or shortened value of the Device Name characteristic as defined in [3]) in its Advertising Data or Scan Response Data.



## 4.1.2.3 Appearance AD Type

For enhanced user experience a Sensor should include the value of the Appearance characteristic defined in [3] in its Advertising data or Scan Response data.

## 4.1.3 Incremental Device Information Service Requirements

The table below shows additional requirements and recommendations beyond those defined in the Device Information Service.

Device Information Service Characteristic	Requirement
Manufacturer Name String	М
Model Number String	М
Serial Number String	0.1
System ID	0.1
Hardware Revision String	0.1
Software Revision String	0.1
Firmware Revision String	0.1
IEEE 11073-20601 Regulatory Certification Data List	0.1

Table 4.2: Device Information Service Requirements

O.1: Characteristic inclusion is optional, but may be required for transcoding for use in ISO/IEEE 11073, see [4].

Characteristics in this service may be transcoded by the Collector for use in an ISO/IEEE 11073 ecosystem. See the Personal Health Devices Transcoding White Paper [4] for more information. Since strings in this service are encoded as UTF-8, and ISO/IEEE 11073-20601 [5] specifies that strings are encoded as ASCII printable characters (a subset of UTF-8), characters used in string characteristics that are to be transcoded for use in an ISO/IEEE 11073 ecosystem must be restricted to the printable ASCII character set in order to ensure that the strings can be correctly displayed.

If the ISO/IEEE 11073-20601 specification is updated in the future to include UTF-8 support, implementers should consider the impact of using non-ASCII characters on backward compatibility.

Note: The Personal Health Devices Transcoding White Paper [4] recommends that characters outside of the printable ASCII range are translated to characters inside of the printable ASCII range as appropriate.

## 4.1.4 Incremental Bond Management Service Requirements

This profile does not impose any additional requirements to use the Bond Management Service [7].

#### 4.1.5 Incremental Current Time Service Requirements

This profile does not impose any additional requirements to use the Current Time Service [8].



## 4.1.6 Incremental Battery Service Requirements

This profile does not impose any additional requirements to use the Battery Service [9].



# **5 Pulse Oximeter Collector Role Requirements**

The Collector shall support the Pulse Oximeter Service [2].

The Collector may support the Device Information Service [6].

The Collector may support the Bond Management Service [7].

The Collector may support the Current Time Service [8].

The Collector may support the Battery Service [9].

Service	Collector
Pulse Oximeter Service	М
Device Information Service	0
Bond Management Service	0
Current Time Service	0
Battery Service	0

Table 5.1: Collector Service Requirements

This section describes the profile procedure requirements for a Collector.

Profile Requirement	Section	Support in Collector
Service Discovery	5.2	М
Pulse Oximeter Service Discovery	5.2.1	М
Device Information Service Discovery	5.2.2	0
Bond Management Service Discovery	5.2.3	0
Current Time Service Discovery	5.2.4	0
Battery Service Discovery	5.2.5	0
Characteristic Discovery	5.3	Μ
Pulse Oximeter Service Characteristic Discovery	5.3.1	М
Device Information Service Characteristic Discovery	5.3.3	0
Bond Management Service Characteristic Discovery	5.3.2	0
Current Time Service Characteristic Discovery	5.3.4	0
Battery Service Characteristic Discovery	5.3.5	0
PLX Spot-check Measurement	5.4	Μ
PLX Continuous Measurement	5.5	М
PLX Features	5.6	М
Record Access Control Point	5.7	0



Profile Requirement	Section	Support in Collector
Report Stored Records	5.7.2.3	М
Delete Stored Records	5.7.2.2	0
Abort Operation	5.7.2.4	0
Report Number of Stored Records	5.7.2.1	0
Bond Management Control Point	5.3.2.1	0
Bond Management Features	5.3.2.2	0

Table 5.2: Collector Requirements

C.1: Mandatory if Spot-check measurement storage is supported, otherwise excluded

## 5.1 GATT Sub-Procedure Requirements

Requirements in this section represent a minimum set of requirements for a Collector (Client). Other GATT sub-procedures may be used if supported by both Client and Server.

Table 5.3 summarizes *additional* GATT sub-procedure requirements beyond those required by all GATT Clients.

GATT Sub-Procedure	Collector (Client) Requirements
Discover All Primary Services	C.1
Discover Primary Services by Service UUID	C.1
Discover All Characteristics of a Service	C.2
Discover Characteristics by UUID	C.2
Discover All Characteristic Descriptors	М
Read Characteristic Value	М
Write Characteristic Value	Μ
Reliable Writes	C.3
Write Long Characteristic Values	C.3
Notifications	Μ
Read Characteristic Descriptors	М
Write Characteristic Descriptors	Μ

 Table 5.3: Additional GATT Sub-Procedure Requirements

C.1: Mandatory to support at least one of these service discovery sub-procedures.

C.2: Mandatory to support at least one of these characteristic discovery sub-procedures.

C.3 Mandatory if the Bond Management Service is supported, otherwise Optional.



## 5.2 Service Discovery

The Collector shall perform primary service discovery using either the GATT *Discover All Primary Services* sub-procedure or the GATT *Discover Primary Services by Service UUID* sub-procedure.

## 5.2.1 Pulse Oximeter Service Discovery

The Collector shall discover the Pulse Oximeter Service.

## 5.2.2 Device Information Service Discovery

The Collector may discover the Device Information Service.

### 5.2.3 Bond Management Service Discovery

The Collector may discover the Bond Management Service.

### 5.2.4 Current Time Service Discovery

The Collector may discover the Current Time Service.

### 5.2.5 Battery Service Discovery

The Collector may discover the Battery Service.

## 5.3 Characteristic Discovery

As required by GATT, the Collector shall be tolerant of additional optional characteristics in the service records of services used within this profile.

## 5.3.1 Pulse Oximeter Service Characteristic Discovery

The Collector shall perform either the *GATT Discover All Characteristics of a Service* subprocedure or the *GATT Discover Characteristics by UUID* sub-procedure in order to discover the characteristics of the service.

The Collector shall perform the *GATT Discover All Characteristic Descriptors* sub-procedure in order to discover the characteristic descriptors described in the following sections.

#### 5.3.1.1 PLX Spot-check Measurement Characteristic

The Collector may discover the PLX Spot-check Measurement characteristic. If the characteristic exists, the Collector shall discover the *Client Characteristic Configuration* descriptor.

#### 5.3.1.2 PLX Continuous Measurement Characteristic

The Collector may discover the PLX Continuous Measurement characteristic. If the characteristic exists, the Collector shall discover the *Client Characteristic Configuration* descriptor.

#### 5.3.1.3 PLX Features Characteristic

The Collector shall discover the PLX Features characteristic.



## 5.3.1.4 Record Access Control Point Characteristic

The Collector may discover the Record Access Control Point characteristic. If the characteristic exists, the Collector shall discover the *Client Characteristic Configuration* descriptor.

## 5.3.2 Bond Management Service Characteristics Discovery

In order for the Collector to discover the characteristics of the Bond Management Service, it may use either the GATT *Discover All Characteristics of a Service* sub-procedure or the GATT *Discover Characteristics by UUID* sub-procedure to discover all characteristics of the service.

#### 5.3.2.1 Bond Management Control Point Characteristic

The Collector may discover the Bond Management Control Point characteristic of the Bond Management Service.

#### 5.3.2.2 Bond Management Features

The Collector may discover the Bond Management Feature characteristic of the Bond Management Service.

## 5.3.3 Device Information Service Characteristics Discovery

The Collector may discover the characteristics of the Device Information Service.

In order for the Collector to discover the characteristics of the Device Information Service, it may use either the GATT *Discover All Characteristics of a Service* sub-procedure or the GATT *Discover Characteristics by UUID* sub-procedure to discover all characteristics of the service.

## 5.3.4 Current Time Service Characteristics Discovery

The Collector may discover the characteristics of the Current Time Service.

In order for the Collector to discover the characteristics of the Current Time Service, it may use either the GATT *Discover All Characteristics of a Service* sub-procedure or the GATT *Discover Characteristics by UUID* sub-procedure to discover all characteristics of the service.

## 5.3.5 Battery Service Characteristics Discovery

The Collector may discover the characteristics of the Battery Service.

In order for the Collector to discover the characteristics of the Battery Service, it may use either the GATT *Discover All Characteristics of a Service* sub-procedure or the GATT *Discover Characteristics by UUID* sub-procedure to discover all characteristics of the service.

## 5.4 PLX Spot-check Measurement Characteristic

This section is only applicable when the PLX Spot-check Measurement characteristic is supported by the Sensor.

The Collector shall determine the contents of the PLX Spot-check Measurement characteristic structure based on the contents of the Flags field. This allows the Collector to determine whether or not optional fields are present.



The Collector shall determine the features supported by the Sensor by reading the PLX Features characteristic (see Section 5.6).

If the Collector receives a PLX Spot-check Measurement characteristic indication with any bits in its fields that are defined as Reserved for Future Use (RFU), it shall ignore those bits and continue to process all other fields and bits normally.

When a Collector wishes to receive temporarily stored spot-check measurements, the Collector shall use the Record Access Control Point procedures described in Section 5.7.2.

## 5.5 PLX Continuous Measurement Characteristic

This section is only applicable when the PLX Continuous Measurement characteristic is supported by the Sensor.

The Collector shall determine the contents of the PLX Continuous Measurement characteristic structure based on the contents of the Flags field. This allows the Collector to determine whether or not optional fields are present.

The Collector shall determine the features supported by the Sensor by reading the PLX Features characteristic (see Section 5.6).

The Collector shall be able to receive periodic notifications (typically every 1-4 seconds) of the PLX Continuous Measurement characteristic from a Sensor.

If the Collector receives a PLX Continuous Measurement characteristic notification with any bits in its fields that are defined as Reserved for Future Use (RFU), it shall ignore those bits.

## 5.6 PLX Features Characteristic

On an initial connection, the Collector shall read the PLX Features characteristic to determine the features supported by the Sensor.

All currently defined bits of the PLX Features characteristic will be static for the lifetime of the device (i.e., static permanently or until Service Changed is indicated).

If the Collector receives a PLX Features characteristic with bits or values of the fields that are designated as Reserved for Future Use (RFU), it shall ignore these bits.

## 5.6.1 Supported Features Field

The bits in the Supported Features Field specify whether or not other high-level features are supported by the Sensor, in addition to determining whether or not optional fields are present in the PLX Features characteristic.

In many cases, this will allow the Collector to adapt to the supported features of the Sensor (e.g., unsupported features will not be shown on the user interface (UI) of the Collector). If one of the feature bits in Table 5.4 is set to 1 (meaning this feature is supported), the Collector shall assume that the related bits of the Flags field are used by the PLX Spot-check and Continuous



measurement characteristics and the associated value might be shown on the UI of the Collector. Otherwise, it is unnecessary for the Collector to expect a value related to an unsupported feature.

Supported Features Field Bit	Related Flags and Behaviors
Measurement Status Support is present (bit 0)	Measurement Status field is present in measurement characteristics
	Measurement Status Support field is present in the PLX Features characteristic
Device and Sensor Status support is present (bit 1)	Device and Sensor Status field is present in measurement characteristics
	Device and Sensor Status Support field is present in the PLX Features characteristic
Measurement Storage for Spot-check measurements is supported (bit 2)	Stored measurements can be accessed using RACP procedures
Timestamp for Spot-check measurements is supported (bit 3)	Time Stamp present in the PLX Spot-check Measurement characteristic
SpO2PR-Fast metric is supported (bit 4)	SpO2PR-Fast field is present in PLX Continuous Measurement characteristic
SpO2PR-Slow metric is supported (bit 5)	SpO2PR-Slow field is present in PLX Continuous Measurement characteristic
Pulse Amplitude Index field is supported (bit 6)	Pulse Amplitude Index field is present in measurement characteristics
Multiple Bonds Supported (bit 7)	None

Table 5.4: PLX Features for Collector

## 5.6.2 Measurement Status Support Field

Based on the contents of the Measurement Status Support field, the Collector shall interpret the bits in the Measurement Status field of the PLX Spot-check Measurement characteristic and the PLX Continuous Measurement characteristic.

For example, if the invalid measurement detection bit is not supported, then the "Invalid Measurement Detected" bit in the Measurement Status field has no meaning. On the other hand, if the invalid measurement detection feature is supported, then the "Invalid Measurement Detected" bit in the Measurement Status field will indicate whether or not the Sensor has detected an invalid measurement.

## 5.6.3 Device and Sensor Status Support Field

Based on the contents of the Device and Sensor Status Support field, the Collector shall interpret the bits in the Device and Sensor Status field of the PLX Spot-check Measurement characteristic and the PLX Continuous Measurement characteristic.



For example, if the equipment malfunction detection feature is not supported, then the "Equipment Malfunction Detected" bit in the Device and Sensor Status field has no meaning. On the other hand, if the equipment malfunction detection feature is supported, then the "Equipment Malfunction Detected" bit in the Device and Sensor Status field will indicate whether or not the Sensor has detected a malfunction.

## 5.7 Record Access Control Point Characteristic

The Record Access Control Point (RACP) Characteristic defined in [3] is used to access stored PLX Spot-check Measurement Characteristic values.

Before performing any RACP procedure, the Collector shall configure the RACP and the PLX Spot-check characteristics for indications (i.e. via the *Client Characteristic Configuration* descriptor).

The Collector may perform a write to the RACP to begin a desired procedure. A procedure begins when the Collector writes to the Sensor's RACP to perform some desired action and ends when either a *Response Code* or *Number of Stored Records Response* RACP indication is received by the Collector.

## 5.7.1 Record Access Control Point Procedure Requirements

The table below shows the requirements for the RACP procedures (Op Codes, Operators and Operands) in the context of this profile:

Op Code	Op Code	Operator	Operator	Ор	erand	Operand Require ment
	Require ment		mont	Filter Type	Filter Parameters	
Report Stored Records	Μ	All records	С	No Operano	d Used	N/A
Delete Stored Records	0	All records	С	No Operano	d Used	N/A
Abort Operation	0	Null (0x00)	С	No Operano	dUsed	N/A
Report Number of Stored Records	0	All records	С	No Operano	d Used	N/A
	Responses					
Op Code	Op Code Require ment	Operator	Operator Require ment	Operand		Operand Require ment



Op Code	Op Code	Operator	Operator	Operand		Onerend
	Require ment		Require ment	Filter Type	Filter Parameters	Operand Require ment
Number of Stored Records Response	0	Null (0x00)	С	UINT16 containing number of records		М
Response Code	М	Null (0x00)	С	Request Op Code, Response Code Value		М

 Table 5.5: Collector RACP Procedure Requirements

#### Notes:

- 1. Support for a given Operand for one Op Code and Operator combination does not imply support of that Operand for other Op Code and Operator combinations.
- 2. Support for a given Operator for one Op Code does not imply support of that Operator for other Op Codes.

## 5.7.2 RACP Behavioral Description

The Collector shall write to the RACP characteristic using one of the supported Op Codes to request a Sensor to perform a procedure (see [3]). This shall include an Operator and Operand that is valid within the context of that Op Code.

If the Sensor supports multiple bonds, a Collector shall be tolerant of the fact that other Collectors may alter the contents of the Sensor's measurement database. For example, Collector #2 may delete records from the Sensor's database that Collector #1 will then never be able to retrieve.

The handling of multiple bonds is vendor-specific, e.g. a Sensor may only allow certain Collectors (such as a doctor's computer) to use the Delete Store Records procedure.

#### 5.7.2.1 Report Number of Stored Records Procedure

To request the number of stored records from a Sensor, the Collector shall write the *Report Number of Stored Records* Op Code and the Operand set to *All Records* to the RACP(see Table 5.5).

The Collector shall wait for the *Number of Stored Records Response* RACP indication containing the number of stored records available in the Sensor. The *Number of Stored Records Response* RACP indication ends the *Report Number of Stored Records* procedure.

If after requesting the number of stored records, the Collector receives a *Response Code* RACP indication with a *Response Code Value* that represents an error condition, see Section 5.7.2.5 for general error descriptions.

C: If this Op Code is supported, this Operator is mandatory for this Op Code.



The value returned by the Number of Stored Records procedure is intended to be used either for the user interface on the Collector or to enable the Collector to acquire an estimate of the number of records it might receive to ensure it has sufficient resources.

#### 5.7.2.2 Delete Stored Records Procedure

To request deletion of stored records within the Sensor, the Collector shall write the *Delete Stored Records* Op Code and the Operand set to *All Records* to the RACP (see Table 5.5).

The Collector shall wait for the *Response Code* RACP Indication with the Response Code Value set to *Success* indicating successful deletion of records as per the request or for the procedure to time out according to the procedure time out operation described in Section 5.7.2.6.

If after requesting the deletion of stored records, the Collector receives a *Response Code* RACP indication with a *Response Code Value* that represents an error condition, see Section 5.7.2.5 for general error descriptions.

#### 5.7.2.3 Report Stored Records Procedure

To request the transfer of stored records from the Sensor, the Collector shall write the *Report Stored Records* Op Code and the Operand set to *All Records* to the RACP (see Table 5.5).

The Sensor will indicate stored records through the PLX Spot-check characteristic.

Once all patient records for a given request have been successfully indicated by the Sensor, the Sensor will send a *Response Code* RACP indication with the *Response Code Value* set to *Success*.

The Collector may also receive a *Response Code* RACP indication with the *Response Code Value* representing an error condition that occurred in processing the request. A description of specific error conditions is provided below and in Section 5.7.2.5.

If after requesting stored records the Collector receives a *Response Code* RACP indication with the *Response Code Value* set to *No Records found*, this indicates that the Sensor does not have any stored records.

If after requesting and receiving stored records the Collector receives a *Response Code* RACP indication with the *Response Code Value* set to *Procedure not completed* this indicates that the Sensor was required to interrupt its data transfer before completion for an unspecified reason. This message is not sent in the event of an Abort Operation procedure (see Section 5.7.2.4) terminating the Report Stored Records procedure.

If a condition arises where a Collector is no longer able to receive the requested data, the Collector may request to abort the data transfer as described in Section 5.7.2.4.

#### 5.7.2.4 Abort Operation Procedure

To abort a procedure that a Collector initiated, the Collector shall send the *Abort Operation* Op Code with the Operator set to *Null* and no Operand.



The Collector shall then wait for the *Response Code* RACP indication with the *Response Code Value* set to *Success* indicating successful aborting of the procedure or for the procedure to time out according to the procedure time out operation described in Section 5.7.2.6. Although Sensors are required to stop the data transfer after they have sent the *Response Code Value* of *Success*, they may still have some unsent records. These records will be retained for transmission in a later Report Stored Records Procedure (see Section 5.7.2.3).

The *Request Op Code* in the Operand of the *Response Code* RACP indication is used by the Collector to determine if a *Response Code* RACP indication is received in response to an *Abort Operation* procedure, or the procedure that the *Abort Operation* is trying to abort. If the *Abort Operation* procedure is completed successfully then the Sensor shall send the *Response Code* RACP indication with the *Request Op Code* in the Operand set to *Abort Operation*, and shall not send any *Response Code* RACP indication for the aborted procedure.

The Collector may also receive a *Response Code* RACP indication with the *Request Op Code* in the Operand set to *Abort Operation* and the *Response Code Value* representing an error condition that occurred in processing the request. Though in practice not all *Response Code Values* may be returned for an *Abort Operation* procedure, a Collector shall be able to handle receiving all defined *Response Code Values* in response to this procedure (see Section 5.7.2.5 for error response descriptions).

If after requesting the abort, the Collector receives a *Response Code* RACP indication with the *Request Op Code* in the Operand set to *Abort Operation* and the *Response Code Value* set to *Abort Unsuccessful*, this indicates that the Sensor is unable to process the abort. How the Collector handles this situation is left to the implementation.

#### 5.7.2.5 RACP Errors

If the Collector writes an Operator to the RACP characteristic that is invalid, it will receive a *Response Code* RACP indication with the *Response Code Value* set to *Invalid Operator*.

If the Collector writes an Operator to the RACP characteristic that is not supported by the Sensor, it will receive a *Response Code* RACP indication with the *Response Code Value* set to *Operator not supported*.

If the Collector receives a *Response Code* RACP indication with the *Response Code Value* set to *Procedure not completed,* this indicates that the Sensor is unable to complete the procedure for some unknown reason, and the procedure shall be considered to have failed.

If the Collector writes a Filter Type within an Operand to the RACP characteristic that is not supported by the Sensor, it will receive a *Response Code* RACP indication with the *Response Code Value* set to *Operand Not Supported*.

If the Collector attempts to perform any defined RACP procedure other than the *Abort Operation* procedure before a previous procedure is complete and receives an ATT Error Response with the error code set to *Procedure Already in Progress,* the Collector shall wait until the current RACP procedure completes before starting a new procedure.



If the Collector attempts to request any defined RACP procedure before it has configured the PLX Spot-check Measurement characteristic for indications and the RACP characteristic for indications (all via the appropriate *Client Characteristic Configuration* descriptor) as required in previous sections, then the Sensor will transmit a ATT Error Response with the error code set to *Client Characteristic Configuration Descriptor Improperly Configured*. This means that the Collector has not configured the Sensor correctly.

If the Collector writes an Op Code to the RACP characteristic that is unsupported by the Sensor, it will receive a *Response Code* RACP indication with the *Response Code Value* set to *Op Code Not Supported*.

### 5.7.2.6 Procedure Timeout and Failure

In the context of the RACP characteristic, a procedure is started when the Collector writes the RACP. The procedure is considered to be complete when the RACP is indicated with the Op Code set to *Response Code*.

A RACP procedure may consist of multiple indications of the PLX Spot-check Measurement characteristic. The procedure is completed when the RACP is indicated. A procedure is considered to have timed out if an indication from either the RACP or the PLX Spot-check Measurement characteristic is not received within 5 seconds.

If the procedure times out, it shall be considered to have failed. If records are being played back, any that have been successfully indicated shall not be transmitted from the Sensor again.

## 5.8 Bond Management Service Characteristics Behavior

The Collector may write to the Bond Management Control Point to control the bond(s).

The Collector may perform a write to the Bond Management Service Control Point (BMSCP) to request a desired procedure. A procedure begins when the Collector writes the BMSCP to perform some desired action and ends when the ATT Write Response is received by the Collector.

The Collector shall determine the Bond Management features supported by the Sensor by reading the Bond Management Feature characteristic before starting any Bond Management Control Point procedure.

## 5.8.1 Delete Bond of Requesting Device Procedures

If the Delete Bond of Requesting Device Procedure Supported bit of the BM Feature characteristic is set to 1, then the procedure(s) are supported by the Sensor.

The Sensor may allow the Collector to request the deletion of the bond information of the requested device's transport from its database. In this case the Collector shall write the Op Code related to the requested transport to the BMSCP. If an authorization code is required, as determined by the BM Feature characteristic (see Section 5.8.5), the Op Code shall be followed



by a parameter representing the authorization code. The Collector shall wait for the ATT Write response of the Sensor for successful operation or an ATT Error Code describing the error.

If the operation is successful, the Collector shall delete the corresponding bond information in its database after the requested transport(s) are no longer active.

## 5.8.2 Delete all Bonds Procedures

If the Delete all Bonds Procedure Supported bit of the BM Feature characteristic is set to 1, then the procedure(s) are supported by the Sensor.

The Sensor may allow the Collector to request the deletion of the all bond information of the requested device's transport from its database. In this case the Collector shall write the Op Code related to the requested transport to the BMSCP. If an authorization code is required, as determined by the BM Feature characteristic (see Section 5.8.5), the Op Code shall be followed by a parameter representing the authorization code. The Collector shall wait for the ATT Write response of the Sensor for successful operation or an ATT Error Code describing the error.

If the operation is successful, the Collector shall delete all bond information of the requested transport(s) in its database after the requested transport(s) are no longer active.

## 5.8.3 Delete Bond of all except the requesting device Procedures

If the Delete Bond of all except the requesting device Procedure Supported bit of the BM Feature characteristic is set to 1, then the procedure(s) are supported by the Sensor.

The Sensor may allow the Collector to request the deletion of the bond information of all but the requested device's transport from its database. In this case the Collector shall write the Op Code related to the requested transport to the BMSCP. If an authorization code is required, as determined by the BM Feature characteristic (see Section 5.8.5), the Op Code shall be followed by a parameter representing the authorization code. The Collector shall wait for the ATT Write response of the Sensor for successful operation or an ATT Error Code describing the error.

If the operation is successful, the Collector shall delete all bond information of the requested transport(s) in its database, except the bond information of the requesting device's transport after the requested transport(s) are no longer active.

## 5.8.4 BMSCP Error Handling

If the Collector writes an Operand to the BMSCP characteristic that is invalid, it will receive an ATT Error Response with the Attribute Protocol Error Code set to "Request Not Supported"

If the Collector writes an Op Code that does not fit the transportation requirements (e.g., an Op Code valid for BR/EDR transport is written to a single mode LE device) or the Op Code is not supported on the Sensor, it will receive an ATT Error Response with the Attribute Application Error Code set to "Op Code not supported".

If the Collector receives an ATT Error Response with the Attribute Application Error Code set to "Operation Failed", the procedure on the Sensor was not successful and the Collector shall not



assume that the bond information will be deleted after the requested transport(s) are no longer active.

## 5.8.5 BM Feature Characteristic Behavior

The Collector shall read the BM Feature characteristic to determine the supported procedures of the BMSCP.

See the following table describing relationship between BM Feature bit, transport(s) and authorization requirement, where X indicates support if the bit is set to 1:

Bit	Octet	BM Feature Bit Description	BR/EDR Transport	LE Transport	Authorization required
0	0	Delete bond of requesting device (BR/EDR and LE)	x	Х	
1	0	Delete bond of requesting device (BR/EDR and LE) with authorization code	x	x	х
2	0	Delete bond of requesting device (BR/EDR transport only)	Х		
3	0	Delete bond of requesting device (BR/EDR transport only) with authorization code	x		Х
4	0	Delete bond of requesting device (LE transport only)		Х	
5	0	Delete bond of requesting device (LE transport only) with authorization code		x	Х
6	0	Delete all bonds on server (BR/EDR and LE)	х	Х	
7	0	Delete all bonds on server (BR/EDR and LE) with authorization code	x	x	Х
0	1	Delete all bonds on server (BR/EDR transport only)	Х		
1	1	Delete all bonds on server (BR/EDR transport only) with authorization code	x		Х
2	1	Delete all bonds on server (LE transport only)		х	
3	1	Delete all bonds on server (LE transport only) with authorization code		х	Х



Bit	Octet	BM Feature Bit Description	BR/EDR Transport	LE Transport	Authorization required
4	1	Delete bond of all except the requesting device on the server (BR/EDR and LE)	x	x	
5	1	Delete bond of all except the requesting device on the server (BR/EDR and LE) with authorization code	x	x	х
6	1	Delete bond of all except the requesting device on the server (BR/EDR transport only)	х		
7	1	Delete bond of all except the requesting device on the server (BR/EDR transport only) with authorization code	х		Х
0	2	Delete bond of all except the requesting device on the server (LE transport only)		x	
1	2	Delete bond of all except the requesting device on the server (LE transport only) with authorization code		x	х

Table 5.6: BM Feature Bits

If the Collector reads BM Feature characteristic bits that are set and yet are designated as Reserved for Future Use (RFU) in [7], it shall ignore those bits and continue to operate normally as if the bits were not set.

## **5.9** Device Information Service Characteristics Behavior

The Collector may read the value of Device Information Service characteristics.

## 5.10 Current Time Service Characteristics Behavior

The Collector may read and write the characteristics in the Current Time Service.

## 5.11 Battery Service Characteristics Behavior

The Collector may read the characteristics in the Battery Service.



# **6** Connection Establishment

This section describes the connection establishment and connection termination procedures used by a Sensor and Collector in typical scenarios.

The following scenario descriptions are informative:

#### Scenario 1:

In a continuous monitoring usage, where the oximeter is being used constantly for a period of time and the PLX Continuous Measurement Characteristic's values are of interest, the Sensor will typically remain on and in a connection for the duration of the monitoring session. The Sensor will advertise for connections when it is turned on since measurements will be available shortly.

The Collector will typically execute a GAP connection establishment procedure such that it is scanning for the Sensor. When a connection is established and the PLX Continuous Measurement Characteristic is configured for notifications by the Collector, the Sensor will send notifications to the Collector at regular intervals. When the measurement session ends, the Sensor or the Collector may end the connection (e.g. the user removes the sensor from their finger and the device shuts down, ending the connection from the Sensor side).

#### Scenario 2:

In a spot-check usage, the user puts the Sensor on their finger, takes a measurement, and removes it.

In this case, the Sensor would wait to advertise after it had generated its measurement, since allowing the measurement to stabilize may take 10 seconds or more. The Collector will typically execute a GAP connection establishment procedure such that it is scanning for the Sensor.

Since the measurement is ready to be transmitted, the Sensor will send the measurement as soon as the PLX Spot-Check Measurement Characteristic is configured for indications. After the measurement is successfully sent, either the Sensor or the Collector may disconnect.

If the Sensor supports the storage of spot-check measurements, it should remain in the connection for a timeout period to allow the Collector to request the playback of stored measurements.

#### Using Both Scenarios Simultaneously:

If the Collector configures both the PLX Spot-check Measurement characteristic and the PLX Continuous Measurement characteristics' Client Characteristic Configuration descriptors to send measurements, the manufacturer will have to choose between recommended behaviors for each.



For example, when only the PLX Spot-check Measurement characteristic is enabled, it is recommended that the device not advertise until a fully-qualified measurement is ready. When only the PLX Continuous Measurement characteristic is enabled, it is recommended that the device start advertising as soon as it is turned on. If both characteristics are enabled, the manufacturer would likely choose to advertise as soon as the device is turned on. If a Collector automatically enables all characteristics even if it intends to only use one, it may reduce battery life of the Sensor.

## 6.1 Sensor Connection Establishment for Low Energy Transport

This section describes connection procedures that a Sensor should follow to initiate a connection with a Collector using an LE transport:

- Section 6.1.1 describes the connection procedure when the Sensor is not bonded with any Collectors.
- Section 6.1.2 describes the connection procedure when the Sensor is bonded with one or more Collectors.
- Section 6.1.3 describes the procedure that should be followed when an established connection is broken after a link loss.

## 6.1.1 Connection Procedure for Unbonded Devices

This procedure is used for connection establishment when the Sensor is not bonded with any Collectors and ready for connection.

If a connection is not established within 30 seconds, the Pulse Oximeter may either continue sending background advertising to reduce power consumption as long as it chooses or stop advertising. The advertising interval and time to perform advertising are implementation specific and should be configured with consideration for user expectations of connection establishment time using the GAP timers defined in Volume 3, Part C, Section 9.3.11 [1].

If a connection is not established within a time limit defined by the Sensor, the Sensor may exit the GAP Connectable Mode.

The table below summarizes the recommended procedure if the Sensor is not bonded to any Collectors.

GAP Modes	Recommended Filter Policy	Remarks
Recommended:	Attempt to connect to any Collectors.	
General or Limited		
Discoverable Modes		
Undirected Connectable		
Mode		
Required:		
Bondable Mode		

 Table 6.1: Recommended and Required Connection Modes for Unbonded Devices



When a bond is created, refer to recommendations in Section 6.1.2.

When the Pulse Oximeter no longer requires a connection (e.g. a Spot-check measurement has been sent and no more oximetry information will be transmitted), it should perform the GAP *Terminate Connection* procedure.

If the Pulse Oximeter has no data to transfer (or no further data to transfer) and the connection is idle, the Pulse Oximeter should wait at least longer than the maximum connection interval (e.g., 15 seconds) before performing the GAP *Terminate Connection* procedure. This allows the Collector to perform any additional required actions (e.g., read the PLX Features characteristic or read and write to Current Time Service characteristics). For devices that support Man in the Middle (MITM) protection, this duration may need to be longer to allow completion of the pairing sequence.

## 6.1.2 Connection Procedure for Bonded Devices

This table below summarizes the recommended procedure if the Sensor is bonded with one or more Collectors.

Recommended Time	Recommended GAP Modes	Recommended Filter Policy	Remarks
First 10 seconds	Non-Discoverable Mode Undirected Connectable Mode	Attempt to connect to only bonded Collectors in White List.	The White List should be used in order to accept connection requests only from the relevant bonded Collector.
After 10 seconds	General or Limited Discoverable Modes Undirected Connectable Mode Bondable Mode	Attempt to connect to any Collectors.	This allows bonding with a new Collector. Unbonded procedure is described in Section 6.1.1.

Table 6.2: Recommended Connection Procedure for Bonded Devices

If a Sensor requires a connection to a Collector that did not use a resolvable private address during bonding, it may use Low Duty Cycle Directed Advertisements in order to advertise to only the Collector for which it has data. However, it is usually not possible in practice to use Directed Advertising to connect to Collectors because many require the use of resolvable private addresses. Therefore, when a Collector used a resolvable private address during bonding and the Pulse Oximeter requires a connection to that Collector, the Sensor should use the Undirected Connectable Mode along with the Service Data AD Type described in Section 4.1.2.1 to reduce unwanted connection requests.

If a connection is not established within 30 seconds, the Sensor may either continue sending background advertising to reduce power consumption as long as it chooses, or stop advertising.



The advertising interval and time to perform advertising are implementation specific and should be configured with consideration for user expectations of connection establishment time using the GAP timers defined in Volume 3, Part C, Section 9.3.11 [1].

If a connection is not established within a time limit defined by the Pulse Oximeter, the Pulse Oximeter may exit the GAP Connectable Mode.

When the Pulse Oximeter is disconnected and the Pulse Oximeter is ready for reconnection (e.g., when the Pulse Oximeter has new data to send or when commanded by the user), the Pulse Oximeter should reinitiate the connection procedure (e.g., start advertising).

If the Pulse Oximeter has no data to transfer (or no further data to transfer) and the connection is idle, the Pulse Oximeter should wait 15 seconds (idle connection timeout) before performing the GAP *Terminate Connection* procedure. This allows the Collector to perform any additional required actions (e.g., read the PLX Features characteristic or read and write to the RACP). For devices that support Man in the Middle (MITM) protection, this duration may need to be longer to allow completion of the pairing sequence.

## 6.1.3 Link Loss Reconnection Procedure

When a connection is terminated due to link loss, the Sensor should attempt to reconnect to the Collector by entering a GAP Connectable Mode.

## 6.2 Collector Connection Establishment for Low Energy Transport

This section describes connection procedures a Collector should follow to initiate a connection with a Pulse Oximeter using an LE transport.

The Collector should use the GAP General Discovery procedure to discover a Pulse Oximeter. If a Collector uses the GAP Limited Discovery procedure it will only be able to detect Pulse Oximeters that are in the GAP Limited Discoverable Mode.

A Collector may use one of the GAP Connection procedures based on its connectivity requirements as described in Table 6.3:

GAP Connection Procedure	Unbonded Collector	Bonded Collector
General Connection Establishment	Allowed	Allowed
Direct Connection Establishment	Allowed	Allowed
Auto Connection Establishment	Not Allowed	Allowed
Selective Connection Establishment	Not Allowed	Allowed

 Table 6.3: Allowed GAP Connection Procedure

If a connection is not established within 30 Seconds, the Collector may either continue background scanning (to reduce power consumption) or stop scanning.

The connection interval, scan interval, scan window, and time to perform scanning are implementation specific and should be configured with consideration for user expectations of



connection establishment time using the GAP timers defined in Volume 3, Part C, Section 9.3.11 [1].

If a connection is not established within a time limit defined by the Collector, the Collector may exit the connection establishment procedure.

When the connection is established, the Collector shall bond with the Pulse Oximeter.

The Collector should terminate the connection when the measurement session is terminated at the Collector by the user.

When the Collector is disconnected, the Collector may continue scanning for advertisements from Pulse Oximeters and may initiate a new connection.

## 6.2.1 Link Loss Reconnection Procedure

When a connection is terminated due to link loss, the Collector should attempt to reconnect to the Sensor using any of the GAP Connection procedures using the connection establishment timing parameters defined in Vol. 3, Part C (GAP) section 9.3.11 [1] and the connection interval timing parameters defined in Vol. 3, Part C (GAP) section 9.3.12 [1].

## 6.3 Connection Establishment for BR/EDR

This section describes the connection establishment and connection termination procedures used by a Sensor and Collector using BR/EDR transport. Unlike the LE Connection procedures, which describe specific connection parameters, BR/EDR connection establishment does not state requirements beyond those described in GAP based on potential interactions with other BR/EDR profiles operating concurrently on the Sensor and/or Collector. Therefore, power consumption may not be optimized for the BR/EDR transport as compared to the LE transport when no other profiles are operating over the BR/EDR transport.

When using BR/EDR, devices can utilize sniff mode to reduce power consumption; however, no particular parameters are recommended and the requirements of other profiles may be considered.

The procedures for establishing a connection between a Sensor and a Collector that do not have an existing bond and for re-establishing a connection between bonded devices use the inquiry, discovery, paging, pairing, and security procedures described in the Generic Access Profile of the Core Specification [1] and any additional GAP requirements are enumerated in Sections 7 and 8.

## 6.3.1 Connection Procedure for Unbonded Devices

The Sensor shall use the GAP General Discoverable Mode when it is not bonded with any Collectors and is ready for a connection (e.g. when a pulse oximetry session is started).

The Collector should use the GAP General Discovery procedure to discover a Sensor to establish a connection to a Sensor which is not bonded. If a Collector uses the GAP Limited



Inquiry procedure, it will only be able to detect Pulse Oximeters that are in the GAP Limited Discoverable Mode.

Either the Sensor or the Collector can establish a BR/EDR link to a remote peer device.

Once a link is established, the Collector shall discover the Pulse Oximeter Service using SDP procedures prior to establishing a GATT connection. Once the Pulse Oximeter Service is discovered and a GATT connection is established, the Collector shall discover the Pulse Oximeter Service characteristics exposed by this service using GATT Discovery procedures.

Once connected, the Collector shall configure the PLX Spot-check Measurement Characteristic for indications and/or the PLX Continuous Measurement Characteristic for notification.

The Collector should initiate bonding between the two devices.

The Sensor may disconnect the link when no more measurements will be generated, depending on the use cases of the devices and other profiles connected on either device.

## 6.3.2 Connection Procedure for Bonded Devices

The Sensor shall use the GAP Link Establishment Procedure to connect to any bonded Collectors when it is ready for a connection.

The Collector shall be connectable to accept a connection from a Sensor to which it is bonded.

Either the Sensor or the Collector can establish a BR/EDR link to a remote peer device.

If a higher layer determines the bond no longer exists on the remote device, the local device shall reconfigure the remote device after:

- User interaction confirms that the user wants to re-pair with the remote device
- Re-bonding has been performed, and Service discovery has been performed(If the local device had previously determined that the remote device did not have the «Service Changed» characteristic, then service discovery may be skipped because the service is not allowed to change per the Core Specification.)

When the Sensor no longer has data to send, it may disconnect the link, depending on the use cases of the devices and other profiles connected on either device.

The Collector should terminate the connection when the measurement session is terminated at the Collector by the user.

When the Sensor is disconnected and it is ready for reconnection (e.g., when the Sensor has new data to send when commanded by the user), the Pulse Oximeter should initiate a connection with the Collector.



If the Sensor has no data to transfer (or no further data to transfer) and the connection is idle, the Sensor should wait 5 seconds (idle connection timeout) before performing the GAP Terminate Connection procedure. This allows the Collector to perform any additional required actions (e.g., read the PLX Features characteristic or read and write to Current Time Service characteristics). For devices that support Man in the Middle (MITM) protection, this duration may need to be longer to allow completion of the pairing sequence.

## 6.3.3 Link Loss Reconnection Procedure

When a connection is terminated due to link loss, a Sensor should reconnect to the Collector by attempting, for an implementation-specific time, to reestablish an ACL link between the two devices. The Collector should remain Connectable for an implementation-specific time so that a Sensor can reestablish an ACL link.



# 7 Security Considerations

This section describes the security considerations for a Sensor and Collector.

## 7.1 Sensor Security Considerations for Low Energy

This section describes the security requirements for the Sensor for an LE transport.

- All supported characteristics specified by the Pulse Oximeter Service shall be set to Security Mode 1 and Security Level 2 or higher.
- The Sensor shall bond with the Collector.
- The Sensor may use the SM *Slave Security Request* command to inform the Collector of its security requirements.
- All characteristics specified by the Device Information Service and other optional Services (see Table 4.1) that are relevant to this profile should be set to the same security mode and level as the characteristics in the Pulse Oximeter Service.

## 7.2 Collector Security Considerations for Low Energy

This section describes the security requirements for the Collector for LE transport.

- The Collector shall support bonding.
- The Collector shall accept any request by the Sensor for LE Security Mode 1 and either Security Level 2 or higher.

## 7.3 Security Considerations for BR/EDR

As required by GAP, Security Mode 4 shall be used for connections by the Sensor and Collector.

- The Sensor may initiate Dedicated Bonding with the Collector, however, if the Sensor supports multiple users, then it shall initiate Dedicated Bonding and shall support as many bonds as the number of supported users.
- The Collector shall support bonding in case it is requested by the Sensor.



# 8 Generic Access Profile for BR/EDR

This section defines the support requirements for the capabilities as defined in the Generic Access Profile (GAP) of the Core Specification [1] when BR/EDR is used.

## 8.1 Modes

The Mode Procedures as defined in GAP [1] describe requirements for both Sensor and Collectors involved. This profile further refines the requirements.

- At least General Discoverable mode or Limited Discoverable Mode shall be supported by Sensors supporting BR/EDR.
- Bondable mode shall be supported by Sensors and Collectors

Table 8.1 shows the support status for GAP Modes in this profile.

Procedure	Support in Sensor	Support in Collector
General Discoverable Mode	C.1	N/A
Limited Discoverable Mode	C.1	N/A
Bondable Mode	М	М

Table 8.1: BR/EDR GAP Mode Support

C.1: It is mandatory to support at least one of these modes.

## 8.2 Idle Mode Procedures

The Idle Mode Procedures as defined in GAP [1] describe requirements for both Sensor and Collector involved. This profile further refines requirements.

General inquiry shall be supported by all the Collectors.

Limited Inquiry may be supported by Collectors.

General bonding shall be supported by all Sensors and Collectors.

Procedure	Support in Sensor	Support in Collector
General Inquiry	N/A	М
Limited Inquiry	N/A	0
General Bonding	М	М

Table 8.2: Idle Mode Procedures



# **9** Acronyms and Abbreviations

Abbreviation or Acronym	Meaning
BM	Bond Management
BMSCP	Bond Management Control Point
SpO2	Percent oxygen saturation of hemoglobin, as measured by a pulse oximeter. Sometimes referred to as %SpO2 in other literature.
PLX	Pulse Oximeter
PR	Pulse Rate
RACP	Record Access Control Point
RFU	Reserved for Future Use
UI	User Interface

Table 9.1: Abbreviations and Acronyms



## **10 References**

- [1] Bluetooth Core Specification, Version 4.1 or later
- [2] Pulse Oximeter Service, Version 1.0 or later
- [3] Characteristic Descriptor descriptions are accessible via the <u>Bluetooth SIG Assigned</u> <u>Numbers</u>
- [4] Personal Health Devices Transcoding Whitepaper version 1.TBD or later
- [5] ISO/IEEE Std 11073-20601<sup>™</sup>-2014 Health Informatics Personal Health Device Communication – Application Profile – Optimized Exchange Protocol – version 1.0 or later. This also includes ISO/IEEE Std 11073-20601<sup>™</sup>-2010 – Amendment 1.
- [6] Device Information Service, Version 1.1 or later
- [7] Bond Management Service, Version 1.0 or later
- [8] Current Time Service, Version 1.1 or later
- [9] Battery Service, Version 1.0 or later



# **11 List of Figures**

A listing of the document's figures.

Figure 2.1: Pulse Oximeter Protocol Stack	9
Figure 2.2: Pulse Oximeter Profile Roles	9
Figure 3.1: Role/Service Relationships1	1



# 12 List of Tables

A listing of the document's tables.

13
14
16
17
17
21
23
29
31
32
33
38
38
39
-